

OptumCare

Qualification Guidelines for Home NIV

Complete this form to qualify hypercapnic COPD patients for home noninvasive ventilation therapy. (Qualifications based on 2012 Medicare guidelines)

Patient Name _____	DOB _____	Room _____
1. Obstructive Sleep Apnea (OSA) and treatment with a continuous positive airway pressure device (CPAP) has been considered and ruled out.	a. OSA and CPAP have been considered and ruled out:	1a. ☐ YES
2. Please attach a printed report of the following: An arterial blood gas draw done while patient is awake, breathing the patient's prescribed FiO ₂ , shows PaCO ₂ ≥ 52 mm Hg.	a. Patient was awake during arterial blood gas draw: b. Blood draw was done on patient's prescribed FiO ₂ :	2a. ☐ YES 2b. ☐ YES
3. Please attach a printed report of the following: Sleep oximetry demonstrates oxygen saturation ≤ 88% for ≥ 5 minutes of nocturnal recording time (minimum recording time of 2 hours), done while breathing oxygen at 2 LPM or the patient's prescribed FiO ₂ (whichever is higher).	a. Oxygen saturation ≤ 88% for ≥ 5 minutes: b. Oximetry recording was performed for at least 2 hours: c. Oximetry recording was performed nocturnally: d. Patient was breathing 2 LPM oxygen or _____ (the patient's prescribed FiO ₂ , whichever is higher):	3a. ☐ YES 3b. ☐ YES 3c. ☐ YES 3d. ☐ YES

III. Severe COPD Coverage

Situation 1:

E0471 started anytime after a period of initial use of E0470 if both A and B are met

- ☐ A. ABG PaCO₂, while awake and breathing beneficiary's prescribed FiO₂, shows that the beneficiary's PaCO₂ worsens > 7 mm Hg compared to original result criterion A
- ☐ B. Facility-based PSG demonstrates oxygen saturation < 88% for > 5 minutes nocturnal (minimum recording 2 hours) while using E0470 that is not caused by obstructive upper airway event

E0471 covered for COPD in following 2 situations:

Situation 2:

E0471 no sooner than 61 days after initial issue of E0470 both A and B met:

- ☐ A. ABG PaCO₂ done while awake and breathing beneficiary's prescribed FiO₂, still remains > to 52 mm Hg AND
- ☐ B. Sleep oximetry, while breathing with E0470, demonstrates oxygen saturation ≤ 88% for 5 min. nocturnal (minim recording time 2 hrs) while breathing oxygen at 2 LPM or prescribed FiO₂, whichever is higher.

Call Mitchell Home Medical at 800-420-0202 to order (E0471) or (E0470) Based upon the treating physician's judgment

IV. Hypoventilation Coverage

- ☐ ABG PaCO₂, done while awake breathing prescribed FiO₂ is greater than or equal to 45 mm Hg.
- ☐ Spirometry shows FEV1/FCV greater than or equal to 70 percent.

And either of the following

- ☐ ABG PaCO₂, done during sleep or immediately upon awaking breathing prescribed FiO₂ shows the beneficiary's PaCO₂ worsened greater than or equal to 7mm Hg compared to result in criterion 1 above

- ☐ PSG demonstrates oxygen saturation less than or equal to 88% for at least 5 min. nocturnal (minim recording time of 2 hrs.) not caused by obstructive upper airway events.

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